Information on Supervision from other Jurisdictions

From: ASPPB BARC on behalf of DCA PsychologicalExaminers
To: ASPPB-ADMINS@LISTSERV.ASPPB.ORG
Subject: Re: Current rules and regulations on supervision
Date: Monday, March 13, 2023 4:51:25 PM

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You will find all information rules and regulation concerning supervision in our regulations.

www.njdivisionofconsumeraffairs.gov

From: ASPPB BARC <ASPPB-ADMINS@LISTSERV.ASPPB.ORG> On Behalf Of NBOP ED

Sent: Monday, March 13, 2023 5:33 PM **To:** ASPPB-ADMINS@LISTSERV.ASPPB.ORG

Subject: [EXTERNAL] Current rules and regulations on supervision

Greetings -

The Nevada Board of Psychological Examiners has approved the creation of an Ad Hoc Committee to Consider Registration of Supervisors. The first meeting of that Ad Hoc Committee is expected to occur within the next 2-3 weeks.

In advance of that, would anyone on this list be able to share some information on what (if any) your current rules and regulations around supervision are? Our intention is to align with other states in a way that does not impede mobility for psychologists and supervisors.

Thank you for your time and attention to my query.

Kind regards,

Laura M. Arnold Executive Director Nevada State Board of Psychological Examiners 775-688-1268 http://psyexam.nv.gov/

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NEW JERSEY ADMINISTRATIVE CODE TITLE 13 LAW AND PUBLIC SAFETY CHAPTER 42 BOARD OF PSYCHOLOGICAL EXAMINERS

Chapter 42 Page 1 of 84 Last Revision Date: 2/6/2023

CHAPTER TABLE OF CONTENTS

SUBCHAPTER 1. SCOPE OF PRACTICE; PERSONS REQUIRING LICENSURE; EXEMPTIONS LICENSURE; UNLICENSED PRACTICE	
13:42-1.1 Scope of practice	6
13:42-1.2 Persons requiring licensure; persons not requiring licensure	
13:42-1.3 Employment by a nonprofit bona fide community organization; exemption from licer	
13:42-1.4 Student of psychology; exemption from licensure	
13:42-1.5 Member of other professional group doing work of psychological nature; exemption licensure	from
13:42-1.6 Ineligibility for exemption	
SUBCHAPTER 2. INITIAL QUALIFICATIONS	12
13:42-2.1 Application; qualifications to sit for examination	12
13:42-2.2 Academic degree in a field allied to psychology	14
13:42-2.3 Equivalent training	14
13:42-2.4 Degrees earned outside the United States	15
SUBCHAPTER 3. ONE-YEAR UNSUPERVISED AND THREE-YEAR SUPERVISED TEMPORAL PERMITS FOR TRAINING PENDING JURISPRUDENCE ONLINE EXAMINATIONS	
13:42-3.1 One-year unsupervised permit	15
13:42-3.2 Three-year supervised permit	16
13:42-3.3 Limitation, suspension, or revocation of permit by Board	17
13:42-3.4 Extension of permit upon request of permit holder	
13:42-3.5 Return of permit	18
13:42-3.6 Responsibilities of applicant for one-year unsupervised and three-year supervised tempermits	mporary
SUBCHAPTER 4. SUPERVISION OF CANDIDATES FOR LICENSURE	19
13:42-4.1 Amount of supervision required	19
13:42-4.2 Authorized supervisors	20
13:42-4.3 (Reserved)	20
13:42-4.4 Responsibilities of supervisor	20
13:42-4.5 Supervision of individuals exempt from licensure	22
13:42-4.6 Financial arrangements between supervisor and supervisee	22
SUBCHAPTER 5. EXAMINATION	22
13:42-5.1 Board-approved written examination; jurisprudence online examination	22
13:42-5.2 Examination review procedures	

- h) A supervised permit holder shall be responsible for the supervisor's compliance with the requirements of N.J.A.C. 13:42-4.4(a) through (c).
- A permit holder shall include his or her permit number on any printed representations of practice.
- j) A permit holder shall not advertise, except a one-year unsupervised permit holder may identify the location of his or her practice solely by name and address. A permit holder may indicate the degree held such as Ph.D., but any use of the words "psychology," "psychologist," or any of its derivatives shall be prohibited for any permit holder prior to licensure.
- k) Prior to commencement of client treatment, a one-year unsupervised permit holder shall obtain a written disclosure form, which shall be signed by the client and retained as part of the client record, acknowledging that the client has been informed that:
 - 1) Services are to be rendered by a permit holder who is not a licensed psychologist; and
 - 2) Third party payors may not necessarily reimburse services rendered by a person not licensed by the Board.
- A one-year unsupervised and three-year supervised temporary permit holder shall be prohibited from performing custody/parenting time evaluations and termination of parental rights evaluations.

SUBCHAPTER 4. SUPERVISION OF CANDIDATES FOR LICENSURE

13:42-4.1 AMOUNT OF SUPERVISION REQUIRED

- a) An applicant for licensure shall be required to document the competent performance of at least two years of full time or full time equivalent supervised experience in the practice of psychology, at least one year of which shall have been completed subsequent to the applicant's receipt of his or her doctoral degree.
- b) The equivalent of one year of full-time supervised experience shall be a minimum of 1,750 hours. The 1,750 hours shall include:
 - 1) One thousand client contact hours completed in accordance with the limitations provided in N.J.A.C. 13:42-3.6(f);

- 2) Two hundred hours of supervision. At least 100 hours shall be individual face-to-face supervision; the remaining 100 hours may be individual or group supervision. The ratio shall be one hour of supervision for each five hours of client contact per week; and
- 3) Five hundred fifty hours in other work-related activities such as recordkeeping, consultations, report writing, etc.
- c) Pursuant to P.L. 2020, c. 134, an individual who applies for a license after October 1, 2020 may complete the two years of full-time or full-time equivalent supervised experience in the practice of psychology prior to the individual's receiving a doctoral degree.
- d) Pursuant to P.L. 2020, c. 134, an applicant who has submitted an application to the Board, but who has not obtained a license prior to December 14, 2020, may update the application to apply professional experience earned prior to the applicant receiving a doctoral degree towards the required two years of full-time or full-time equivalent supervised experience in the practice of psychology.

13:42-4.2 AUTHORIZED SUPERVISORS

- a) Supervision of experience for licensure purposes, including experience in exempt settings, shall be rendered by:
 - 1) A psychologist licensed in New Jersey for at least two years; or
 - 2) For supervised experience obtained in another state, a psychologist licensed in that state for at least two years and who is eligible for licensure in New Jersey.

13:42-4.3 (RESERVED)

13:42-4.4 RESPONSIBILITIES OF SUPERVISOR

- a) A supervisor shall obtain prior Board approval and shall provide the Board with a letter stating that the supervisor has agreed to enter into a supervisory arrangement with the permit holder.
- b) A supervisor shall supervise no more than three permit holders at any one time, except for good cause shown and at the discretion of the Board.
- c) Every six months and at the completion of the supervision, the supervisor shall document the supervised experience on a form provided by the Board. The information to be supplied on the form includes the dates during which the permit holder was under supervision, the nature of the cases assigned, and the proficiency rating earned by the permit holder.

- d) A supervisor shall ensure that the permit holder has, at all times, a current and valid Board permit or other required authorization.
- e) A supervisor shall provide adequate and timely evaluations, as required by the work setting, to employees, permit holders, students and others whose work is being supervised.
- f) Prior to a permit holder's commencement of client treatment, the supervisor shall obtain a written disclosure form, which shall be signed by the client and retained as part of the client record, acknowledging that the client has been informed that:
 - 1) Services are to be rendered by a permit holder who is not a licensed psychologist; and
 - 2) Third party payors may not necessarily reimburse services rendered by a person not licensed by this Board, notwithstanding supervision by a licensed psychologist.
- g) A supervisor shall retain full professional responsibility for setting fees, billing clients and collecting fees from clients consistent with the provisions of N.J.A.C. 13:42-10.10 and 10.11.
- h) A supervisor shall provide professional working conditions, constructive consultation and experience opportunities.
- A supervisor shall not condone or knowingly permit an applicant for a three-year supervised temporary permit to provide psychological services prior to the Board issuing a permit to the applicant.
- j) A supervisor shall not condone or knowingly permit the permit holder to practice without supervision or independently.
- k) A supervisor shall not enter into supervisory arrangements involving a potential conflict of interest such as, but not limited to, arrangements with:
 - 1) Family members;
 - 2) Those with whom the supervisor has close personal associations;
 - 3) Those with whom the supervisor has financial relationships (such as creditor-debtor), other than as specifically permitted by N.J.A.C. 13:42-4.6; or
 - 4) Those with whom the licensee has a therapist/client relationship.

I) A supervisor shall be responsible for ensuring that a permit holder maintains client records consistent with the requirements of N.J.A.C. 13:42-8.1.

13:42-4.5 SUPERVISION OF INDIVIDUALS EXEMPT FROM LICENSURE

- a) A psychologist may supervise services of a psychological nature rendered in:
 - 1) A private practice setting by a health care professional exempt from licensure pursuant to N.J.S.A. 45:14B-8; and
 - 2) An exempt non-profit bona fide community organization as defined in N.J.A.C. 13:42-1.3.
- b) The supervisor shall ensure that the exempt supervisee complies with all Board regulatory requirements (including preparation of client records) and with accepted standards of professional and ethical practice of the exempt agency or exempt health care professional.

13:42-4.6 FINANCIAL ARRANGEMENTS BETWEEN SUPERVISOR AND SUPERVISEE

- a) Financial arrangements between the supervisor and supervisee shall be reasonable and may take into account the special teaching arrangement that forms the context of the relationship. For example, the supervisor may designate the supervisee as a part-time employee.
- b) The supervisor shall charge the supervisee separately, if at all, for the supervision itself or for ancillary costs such as rent for use of premises, equipment, malpractice insurance, etc.

SUBCHAPTER 5. EXAMINATION

13:42-5.1 BOARD-APPROVED WRITTEN EXAMINATION; JURISPRUDENCE ONLINE EXAMINATION

- a) A candidate who has been admitted to sit for examination shall take the Examination for Professional Practice in Psychology sponsored by the Association of State and Provincial Psychology Boards (ASPPB). A passing score shall be that as established by the ASPPB at the time of the examination.
- b) A candidate who passes the written Examination for Professional Practice in Psychology shall then take the Board's jurisprudence online examination within 90 days of notification of passing the Examination for Professional Practice in Psychology.

From: ASPPB BARC on behalf of Richard Spelliscy
To: ASPPB-ADMINS@LISTSERV.ASPPB.ORG
Subject: Re: Current rules and regulations on supervision

Date: Monday, March 13, 2023 4:51:55 PM

WARNING - This email originated from outside the State of Nevada. Exercise caution when opening attachments or clicking links, especially from unknown senders.

Hello Laura,

Our supervisors must be in good standing, have a minimum of five years' experience as a licensed psychologist and be competent in the area they are supervising. They must also follow our supervision standards. These are currently under revision by our recently established Supervision Review Committee.

Regards, Richard

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Richard J. Spelliscy, PhD., R. Psych. Registrar and Chief Executive Officer College of Alberta Psychologists

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From: ASPPB BARC <ASPPB-ADMINS@LISTSERV.ASPPB.ORG> On Behalf Of NBOP ED

Sent: Monday, March 13, 2023 3:33 PM **To:** ASPPB-ADMINS@LISTSERV.ASPPB.ORG

Subject: Current rules and regulations on supervision

Greetings –

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In advance of that, would anyone on this list be able to share some information on what (if any) your current rules and regulations around supervision are? Our intention is to align with other states in a way that does not impede mobility for psychologists and supervisors.

Thank you for your time and attention to my query.

Kind regards,

Laura M. Arnold Executive Director Nevada State Board of Psychological Examiners 775-688-1268 http://psyexam.nv.gov/

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From: ASPPB BARC on behalf of Delgado, Nancy L (DOH)

To: ASPPB-ADMINS@LISTSERV.ASPPB.ORG

Subject: Re: Current rules and regulations on supervision

Date: Monday, March 13, 2023 4:52:30 PM

Attachments: image001.png

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Hello,

For Washington, the supervisor requirements are based on the category of hours that are obtained. Depending on the category there are different requirements on how many supervisors need to supervise the experience, what credential type each supervisor must have, how long they've been licensed, what activities need be covered within the supervision, and what percentage of supervision they can offer based on their credential type and experience.

Below are the links that list the specifics for each category:

WAC 246-924-049 Practicum
WAC 246-924-053 Preinternship
WAC 246-924-056 Internship

WAC 246-924-059 Postdoc

Nancy Delgado

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From: ASPPB BARC <ASPPB-ADMINS@LISTSERV.ASPPB.ORG> On Behalf Of NBOP ED

Sent: Monday, March 13, 2023 2:33 PM **To:** ASPPB-ADMINS@LISTSERV.ASPPB.ORG

Subject: Current rules and regulations on supervision

External Email

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PDF: WAC 246-924-056

Internship.

Applicants must successfully complete an organized internship as part of the doctoral degree program described in WAC **246-924-046**.

- (1) The internship must include at least 1500 hours of supervised experience and be completed within twenty-four months.
 - (2) The internship program must:
 - (a) Be accredited by the American Psychological Association; or
- (b) Be a member program of the Association of Psychology Postdoctoral and Internship Centers; or
 - (c) Meet the following requirements:
 - (i) Organization of the internship program.
- (A) The internship must have a written statement or brochure describing the goals and content of the internship, stating clear expectations and quality of student work, and made available to prospective interns.
- (B) A psychologist licensed by the appropriate state or provincial licensing authority must be clearly designated as responsible for the integrity and quality of the internship program.
 - (C) Interns must use titles indicating their training status.
 - (ii) Content of the internship program.
- (A) The internship must be designed to provide a planned sequence of training experiences focusing on breadth and quality of training. Supervision and training related to ethics must be ongoing.
- (B) At least twenty-five percent of the internship experience must be in direct client contact providing assessment and intervention services.
 - (C) For every 40 hours of internship experience, the student must receive:
- (I) At least 2 hours of regularly scheduled, formal, face-to-face individual supervision that addresses the direct psychological services provided by the intern; and
- (II) At least 2 hours of other learning activities such as case conferences, seminars on applied issues, conducting cotherapy with a staff person including discussion of the case, and group supervision.
 - (iii) Supervision of the internship experience.
- (A) The internship setting must have two or more psychologists available as supervisors, at least one of whom is licensed as a psychologist.
- (B) The internship experience must be supervised by the person(s) responsible for the assigned casework.
- (I) At least seventy-five percent of the supervision must be by a licensed psychologist with two years post-license experience.
 - (II) Up to twenty-five percent of the supervision may be completed by the following:
 - A psychiatrist(s) with three years experience beyond residency;
 - A licensed mental health counselor(s) with five years post-license experience;
 - A licensed marriage and family therapist(s) with at least five years post-license experience;
- A licensed advanced social worker(s) or licensed independent clinical social worker(s) with five years post-license experience; or
- A doctoral level psychologist(s) with three years post-doctoral experience who is exempt from licensure under RCW **18.83.200** (1), (2), (3) or (4), if the supervision occurs in the exempt setting.

[Statutory Authority: RCW 18.83.50 [18.83.050]. WSR 07-24-093, \S 246-924-056, filed 12/5/07, effective 9/1/09.]

2 of 2

PDF

PDF WAC 246-924-059

Postdoctoral supervised experience.

If 3000 hours of supervised experience has not been completed at the end of the doctoral degree program, then up to 1500 hours of supervised postdoctoral experience can be used to satisfy the total requirement. Postdoctoral supervised experience must be completed only if an applicant does not already have 3000 hours of supervised experience.

- (1) Organization of the postdoctoral supervised experience.
- (a) The supervisor is ethically and legally responsible for all supervisee work covered by the supervision agreement. Therefore, the supervisor has authority to alter service plans and direct the course of psychological work.
- (b) Supervisees must use titles indicating their training status, such as "psychological resident," "psychological intern," or "psychological supervisee."
- (c) Clients must be informed of the identity and responsibilities of the supervisor and how they can speak directly to the supervisor.
- (d) Services rendered by the supervisee must not be represented to third parties as having been rendered by the supervisor. Insurance forms must be filled out indicating the nature of the supervisory relationship.
 - (2) The supervisor and supervisee must have a written agreement for supervision, including:
 - (a) The area(s) of professional activity in which supervision will occur;
 - (b) Hours of supervision and/or ratio of supervision to professional activity;
 - (c) Fees for supervision, if any;
- (d) Processes for supervision including mode(s) of supervision, expectations for recordkeeping, evaluation, and feedback;
 - (e) Relevant business arrangements;
 - (f) How the supervisee will represent himself or herself; and
 - (g) How disagreements will be handled.
 - (3) Mode of supervision.
- (a) The preferred mode of supervision is face-to-face discussion between the supervisor and the supervisee.
 - (b) The nature of the supervision may depend on the following:
 - (i) The theoretical orientation of the supervisor;
 - (ii) The training and experience of the supervisee; and
 - (iii) The duration of the supervisory relationship.
- (4) Some direct observation of the supervisee's work is required and the supervisor may use the following:
 - (a) Detailed process notes and progress reports;
 - (b) Audio and/or videotapes;
 - (c) Client supplied information such as behavioral ratings; and
 - (d) One-way mirror observation.
- (5) Supervised experience must be appropriate to the area(s) of professional activity the person intends to practice.
- (6) There must be at least one hour of individual supervision for every twenty hours of psychological work.
- (7) The supervisor and the supervisee must keep records of experience and supervision hours.
 - (8) At the end of the supervision period, the supervisor must prepare and forward to the board

a written evaluation, including the number of successfully completed supervised hours of psychological work and any hours not successfully completed.

If any hours were not successfully completed, the board may require additional hours of supervision.

- (9) Supervision of the postdoctoral supervised experience.
- (a) At least fifty percent of the postdoctoral supervision must be provided by a licensed psychologist.
 - (b) Up to fifty percent of the supervision may be provided by the following:
 - (i) A licensed psychologist with two years post-license experience;
 - (ii) A psychiatrist with three years of experience beyond residency;
- (iii) A licensed mental health counselor, a licensed marriage and family therapist, a licensed advanced social worker, or a licensed independent clinical social worker, if the supervisor has five years post-license experience;
- (iv) A doctoral level psychologist with three years postdoctoral experience who is exempt from licensure under RCW **18.83.200** (1), (2), (3) or (4), if the supervision occurs in the exempt setting.
 - (10) Supervision must include the following:
 - (a) Discussion of services provided by the student;
 - (b) Selection, service plan, and review of each case or work unit of the student;
 - (c) Discussion of and instruction in theoretical concepts underlying the work;
- (d) Discussion of the management of professional practice and other administrative or business issues;
 - (e) Evaluation of the supervisory process by the student and the supervisor;
- (f) Discussion of coordination of services among the professionals involved in the particular cases or work units;
 - (g) Discussion of relevant Washington laws and rules;
 - (h) Discussion of ethical principles including principles applicable to the work;
 - (i) Review of standards for providers of psychological services; and
- (j) Discussion of reading materials relevant to cases, ethical issues and the supervisory process.
 - (11) An applicant may not sign off as supervising their own postdoctoral hours.

[Statutory Authority: 2019 c 351, 2019 c 444 and RCW **18.83.050**. WSR 20-12-076, § 246-924-059, filed 6/1/20, effective 7/2/20. Statutory Authority: RCW 18.83.50 [18.83.050]. WSR 07-24-093, § 246-924-059, filed 12/5/07, effective 9/1/09.]

PDF

PDF WAC 246-924-049

Practicum.

- (1) Applied experience.
- (a) Until June 30, 2021, the doctoral degree program required in WAC **246-924-046** must include a practicum of at least two semesters or three quarters and at least 300 hours of direct experience, 100 hours of which must meet the requirements in subsection (3) of this section for supervision.
- (b) Effective July 1, 2021, the doctoral degree program required in WAC **246-924-046** must include a practicum of at least nine months and 300 hours of direct experience, 100 hours of which must meet the requirements in subsection (3) of this section for supervision.
- (2) No more than 300 practicum hours may count towards licensure requirements. Additional hours accrued following completion of the nine month practicum may count towards licensure requirements if the hours meet all preinternship requirements in WAC **246-924-053**;
 - (3) Supervision must include the following:
 - (a) Discussion of services provided by the student;
 - (b) Selection of treatment plan for and review of each case or work unit of the student;
 - (4) Discussion of and instruction in theoretical concepts underlying the work;
- (5) Discussion of the management of professional practice and other administrative or business issues;
 - (6) Evaluation of the supervisory process by the student and the supervisor;
- (7) Discussion of coordination of services among the professionals involved in the particular cases or work units;
 - (8) Discussion of relevant state laws and rules;
 - (9) Discussion of ethical principles including principles applicable to the work;
 - (10) Review of standards for providers of psychological services; and
- (11) Discussion of reading materials relevant to cases, ethical issues and the supervisory process.

[Statutory Authority: 2019 c 351, 2019 c 444 and RCW **18.83.050**. WSR 20-12-076, § 246-924-049, filed 6/1/20, effective 7/2/20. Statutory Authority: RCW 18.83.50 [18.83.050]. WSR 07-24-093, § 246-924-049, filed 12/5/07, effective 9/1/09.]

2 of 2

PDF

PDF: WAC 246-924-053

Preinternship.

A preinternship experience occurs between the practicum required by WAC **246-924-049** and internship required by WAC **246-924-056**. A preinternship can include up to 1500 hours of supervised experience, but is not required. If preinternship experience is used to satisfy the experience requirement of WAC **246-924-043** (1)(c), it must meet the following requirements:

- (1) Before beginning the program, the student, the doctoral program, and the preinternship program must agree on and document the goals, the student's expectations, and the methods of the preinternship experience. The goals must meet the requirements of this section.
 - (2) Every 20 hours of preinternship experience must include the following:
- (a) At least 2 hours of regularly scheduled, formal, face-to-face individual supervision that addresses the direct psychological services provided by the student; and
- (b) At least 2 hours of other learning activities such as case conferences, seminars on applied issues, conducting cotherapy with a staff person including discussion of the case, and group supervision.
- (3) At least sixty percent of the preinternship experience must be direct client contact providing assessment and intervention services.
- (4) The preinternship experience must be supervised by the person(s) responsible for the assigned casework.
- (a) At least seventy-five percent of the supervision must be by a licensed psychologist with two years post-license experience.
 - (b) Up to twenty-five percent of the supervision may be completed by the following:
 - (i) A psychiatrist(s) with three years experience beyond residency;
 - (ii) A licensed mental health counselor(s) with five years post-license experience;
 - (iii) A licensed marriage and family therapist(s) with at least five years post-license experience;
- (iv) A licensed advanced social worker(s) or licensed independent clinical social worker(s) with five years post-license experience; or
- (v) A doctoral level psychologist(s) with three years post-doctoral experience who is exempt from licensure under RCW **18.83.200** (1), (2), (3) or (4), if the supervision occurs in the exempt setting.
 - (c) Supervision of the preinternship experience must include the following:
 - (i) Discussion of services provided by the student;
 - (ii) Selection of service plan for and review of each case or work unit of the student;
 - (iii) Discussion of and instruction in theoretical concepts underlying the work;
- (iv) Discussion of the management of professional practice and other administrative or business issues:
 - (v) Evaluation of the supervisory process by the student and the supervisor;
- (vi) Discussion of coordination of services among the professionals involved in the particular cases or work units;
 - (vii) Discussion of relevant state laws and rules;
 - (viii) Discussion of ethical principles including principles applicable to the work;
 - (ix) Review of standards for providers of psychological services; and
- (x) Discussion of reading materials relevant to cases, ethical issues and the supervisory process.

[Statutory Authority: RCW 18.83.50 [18.83.050]. WSR 07-24-093, § 246-924-053, filed 12/5/07,

effective 9/1/09.]

2 of 2